



Programme Brochure

In Campus Training Programme on Internal Checks & Control and Compliance Management

(14 to 16 October 2024)

Venue:
BIRD, Mangaluru

Website: www.birdmangaluru.in



Bankers Institute of Rural Development,
Krishna Nagar Road, Behind Govt. Womens Polytechnic, Bondel,
Mangaluru – 575 008 KARNATAKA STATE
Phone (O) - (0824) – 2888509, 2888510, 2888517, 2888516.
E-mail: birdmngnomination@nabard.org

PROGRAMME SPONSORED
BY NABARD



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Introduction: A sound system of internal checks and control is a pre-requisite for healthy growth of banking system. The methods of operations adopted by banks have, therefore, to be in conformity with the standardized procedures and practices evolved over long years of experience not only to obviate irregularities of omissions and commissions likely to arise in the course of daily business but also to prevent willful perpetration of frauds by employees and customers. The importance of a sound system of internal checks is more crucial for banking institutions as they are the repositories of the savings of the public and have necessarily to instill confidence in the public mind for attracting more deposits which provide them with resources for dispensation of credit to the needy clientele. With the increase in volume of business and banking transactions as also complex banking instruments and systems, the internal control system must become more extensive addressing various risks faced by the banks.

Increasing incidents of frauds, eroding the profitability of Banks, has been one of the major concerns of the banking sector. Reserve Bank of India and NABARD have been advising banks from time to time about the major fraud prone areas and the safeguards necessary for prevention of frauds. Banks are required to develop a sound system to prevent the occurrence of frauds to preserve the faith of public in the banking institutions as also to ensure safety of the public money.

During the course of statutory inspection conducted by NABARD, a number of deficiencies were observed in internal control system of some banks. On the other hand, some banks were found to have adopted good practices which had contributed to better control systems.

Based on the Inspection Report submitted by NABARD, banks have to take necessary corrective action and submit compliance report to NABARD. Non-submission of satisfactory compliance on the statutory inspection reports could lead to regulatory action on the banks concerned. Keeping the above aspects in view and with the objective of bringing about qualitative improvement in the compliance on statutory inspection reports, BIRD Mangaluru has designed a three day in-house training programme on “**Internal Checks & Control and Compliance Management**”

Objectives: The program aims to cover the following topics, which, the participants would be able to understand, explain / implement on its completion:

- ❖ Framework for statutory inspections, internal inspections and audit
- ❖ Explain the scope of internal inspection of various assets, income and expenditure
- ❖ Explain KYC /AML/CFT Guidelines and PML Act
- ❖ Identify sources of leakage of income in business operations and measures to increase profits

- ❖ Define frauds and list out methods of preventing frauds- Fraud Risk Management Guidelines
- ❖ Risk Management in Banks- Operational/ Credit/ Market Risk- Guidance Note on Risk Management issued by NABARD
- ❖ Enumerate various methods of branch control
- ❖ Narrate the role of MIS in internal control system
- ❖ Explain the scope of Internal Checks and Control in CBS environment
- ❖ Explain issues of concern enumerated in statutory audit and inspections

Programme Director: Shri. Baidya Nath Singh, DGM/FM, BIRD, Mangaluru.

Duration: 3 days (14 to 16 October 2024) - The programme will commence at 09:30 hrs on 14 October 2024 and will conclude at 17:15 hrs on 16 October 2024.

Participant Profile / Target Group: Officials of State Co-operative Banks and District Central Cooperative Banks of all States (excl. Maharashtra)

Methodology: Interactive lecture sessions, audio visuals, group discussions, and experience sharing. There is no field visit component planned in the course of this program.

Fee & Mode of Payment: The programme is sponsored by NABARD; hence **no participation fee** is collected towards boarding, lodging and to impart training. Banks / Institutions forwarding the nominations have to bear “to and fro” travelling cost of their participants attending the programme.

Venue and Accommodation: The programme is residential and will be conducted at BIRD Campus, Krishna Nagar Road, Behind Women’s Polytechnic, Bondel, Mangaluru-575008. Participants are eligible to avail accommodation in the campus from the **evening of 13th October 2024 till the morning (9.00 A.M) of 17th October 2024. Participants will have to make their own travel arrangements. No cost in this regard will be borne by BIRD.** Participants may make their travel plans in such a manner as to reach the venue well in advance and report before the commencement of the programme on the first day and also **leave only after completion of the programme (5.00 PM) on the concluding day.**

It may please be noted that family member/s or guest/s of the participants will not be allowed to stay in the Venue. The nominating institutions are strictly advised not to send any such participants. Prior permission may be obtained by the participants for overstay, in case of any unforeseen circumstances or emergencies only and in such cases, additional cost, if any, may be borne by the respective participant.

Nomination and Inquiries: The nominations may please be forwarded so as to **reach us at the earliest.** The registration is on “**first come first served**” basis and will be accepted for the first 30 candidates. The nominated persons are requested to report for the training programme only on receipt of confirmation of their nominations by us. **Please**

ensure that the officer/staff who have attended training on the same/ similar topic during last 12 months are not nominated for this programme.

CONFIRMATIONS WILL BE SENT BY E-MAIL ONLY. Nominating institution is requested to furnish **primary as well as alternate email addresses** to avoid loss of communication during transmission.

Nominations: You are requested to enter the details of the officers whom you wish to nominate through the Microsoft Form link given below or scan the QR code. If you are going to nominate multiple officers, please submit individual responses.

<https://forms.office.com/r/5B4G58QW5s>



About BIRD

Bankers Institute of Rural Development (BIRD), Mangaluru, an ISO 9001:2015 certified Institution (formerly known as Regional Training College, NABARD, Mangalore) is established by National Bank for Agriculture and Rural Development (NABARD) and is engaged in training and capacity building of the officials of the Rural Financial Institutions viz., Commercial Banks (CBs), Regional Rural Banks (RRBs), Cooperative Banks, Government and Non-Government organizations particularly in the States of Andhra Pradesh, Telangana, Goa, Karnataka, Kerala, Maharashtra, Gujarat and Tamil Nadu. The Institute organizes in-house and on-location training programs, besides customized programs for banks, workshops/seminars and studies of current interest, etc. During the last 42 years, nearly 71,000 officers of various client institutions have benefitted through programmes conducted by the Institute. The Institute is also involved in conducting International Programmes.

BIRD has very good ambience for academic pursuits, necessary infrastructure, learning aids including an excellent library and exclusive computer lab with internet facility. Participants are provided single occupancy, self-contained air conditioned rooms.

About Mangaluru

Mangaluru is one of the most important tourist destinations in India with its scenic natural beauty. The place is dotted with coconut trees, areca palms, hills and streams. The city is enshrined in ancient temples and beautiful and pristine beaches. Mangaladevi temple, Kadri Manjunatha temple, Kateel Durga Parameshwari temple, St. Aloysius Chapel, Rosario Cathedral, Jama Masjid are the important pilgrimage sights in Mangaluru. It also boasts of an array of clean, serene and tranquil beaches, besides delicious seafood and Udupi-style dishes. Dhramsthala, Kukke Subramanya, Kolluru, Udupi, Karkala, Venoor and Moodabidri are other places of interest nearby.

For further enquiries, please feel free to contact:

Shri. Baidya Nath Singh, DGM/FM, BIRD, Mangaluru (Tele: 0824- 2888509)

Shri. Shakti P Mohapatra, AGM/FM, BIRD, Mangaluru (Tel: 0824-2888510)

Shri. Karthigeyan, AM, BIRD, Mangaluru (Tel. 0824-2888520, Mob.8489336421)

Shri. Neela Mahender Babu, AM, BIRD, Mangaluru (Tel.0824-2888515, Mob. 9618577572)

Shri. Dhiraj Rajak, AM, BIRD, Mangaluru (Tel. 0824-2888518, Mob. 8898463639)

Bankers Institute of Rural Development, Krishna Nagar Road, Behind Govt. Women's Polytechnic, Bondel, Mangaluru – 575 008.

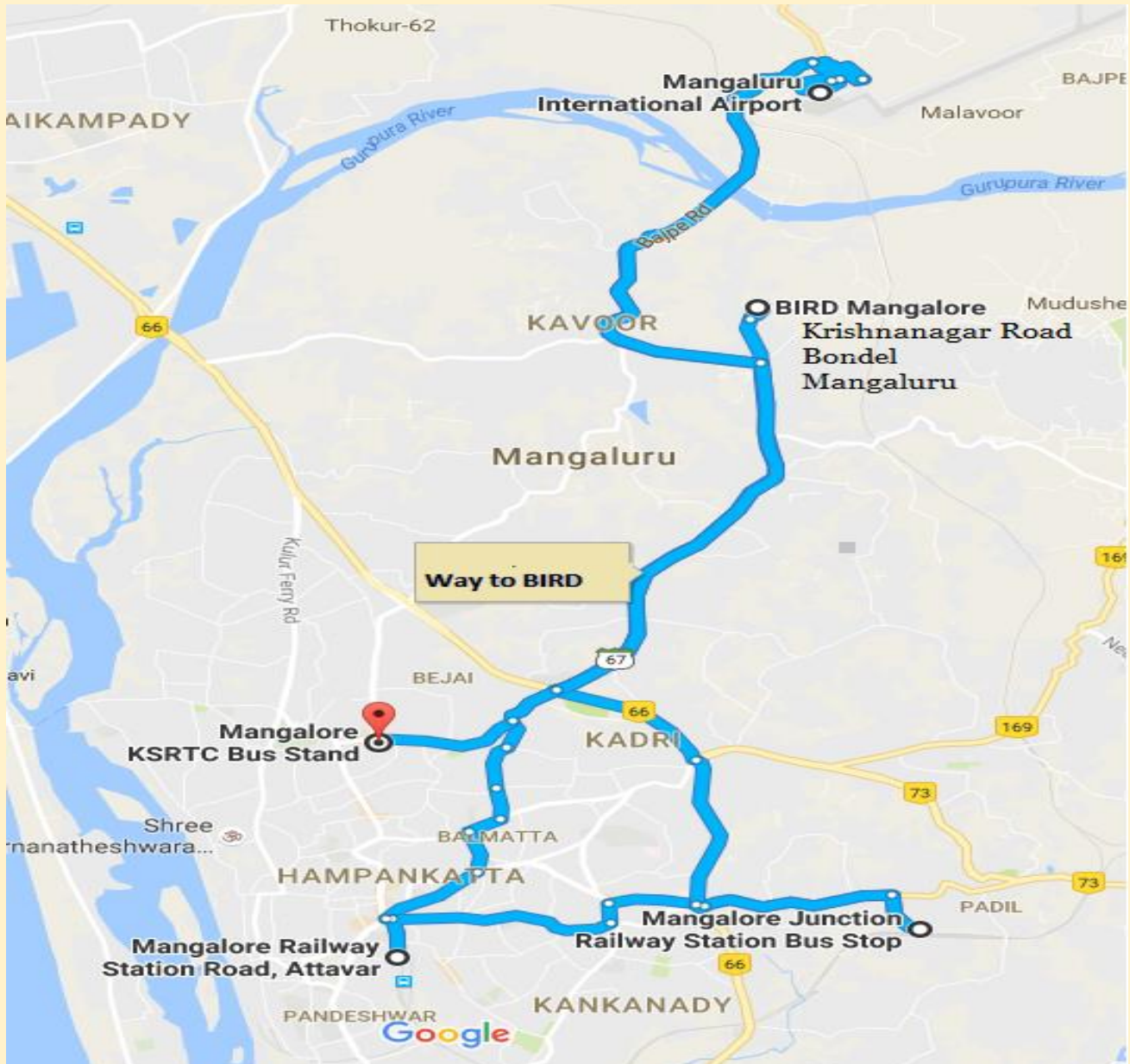
Phone (BIRD Security) - (0824) –2888537

Phone (Reception) - (0824) - 2888532

E-mail: birdmngnomination@nabard.org

Website : <https://birdmangaluru.in/>

MAP: REACHING BIRD, MANGALURU



The Institute is located in a newly built campus located at a distance of:

- 7 km from Mangaluru Airport;
- 11 km from the Mangaluru Central Railway Station;
- 13 KM from Mangaluru Junction;
- 6 KM from the KSRTC Bus Stand.
- Bus No. 19 from KSRTC Bus Stand / Mangaluru Central Station. Alight at the last stop, i.e. Bondel. BIRD is within a km from Bondel Junction.