



Programme Brochure

In Campus Training Programme on Regulatory Compliances in Investment and Treasury Management

(06 to 08 May 2024)

Venue:
BIRD, Mangaluru

Website :www.birdmangaluru.in



Bankers Institute of Rural Development,

Krishna Nagar Road, Bondel,

Mangaluru – 575 008 Karnataka State

Phone (O) - (0824) – 2888509, 2888510, 2888517, 2888516.

E-mail: birdmngnomination@nabard.org

PROGRAMME SPONSORED
BY NABARD



In Campus Training Programme on Regulatory Compliances in Investment and Treasury Management

(06 to 08 May 2024)

Introduction:

Regulatory compliance is like a suit of armour that helps protect the financial institution from vulnerabilities that put the institution at risk of hefty fines or irrevocable damage.

Banking regulatory compliance describes the set of standards and practices banking institutions must adopt to remain in compliance with industry regulations and other relevant legislation. The purpose of regulatory compliance in banking is to prevent deviations or illegalities and address these and other issues within the bank's operations. Regulatory compliance in the banking sector is constantly evolving, which is a reflection of the financial industry itself. New technology has emerged within the last several years, and with it have come new processes.

Treasury management is the process of managing the financial resources of an organisation to achieve its day-to-day operational and long-term strategic goals. This system helps businesses to earn profits by monitoring and managing all their financial aspects. The primary objective is to improve the finances of a business. This type of management system is important to optimise business finances and reduce financial risks. The whole process of treasury or liquidity management ensures that businesses have enough liquidity to meet any short-term goals. Other than this, it also provides a detailed analysis of the assets and liabilities of a business.

Asset Liability Management (ALM) is an important tool for assessing and managing the risk profile of Banks. RBI and NABARD have been emphasizing upon the need to implement Asset Liability Management and set up a Risk Management Committee for the purpose. Further, efficient management of investment portfolio by the banks is very crucial in the context of competition, complexities of financial instruments and risk-prone nature of investments. Optimum level of returns could be achieved through efficient operation of investment portfolio, strong internal checks and control system and transparency in operations. Although RBI/NABARD have been issuing various instructions and guidelines for investment of funds, certain violations and non-adherence to the instructions were observed by NABARD during inspections. Considering these aspects, a three days' in-campus training programme on Regulatory Compliances in Investment and Treasury Management for RCBs and RRBs is being conducted by BIRD, Mangaluru from **06 to 08 May 2024**.

Objectives: By the end of the programme, the participants would be able to:-

- ❖ Explain the importance of Investment Portfolio.
- ❖ Explain the importance of Asset Liability Management
- ❖ Explain money and debt market concepts and instruments
- ❖ Describe the regulatory guidelines on Non SLR investments.
- ❖ State the prudential norms governing Non SLR investments including valuation of securities.
- ❖ List the internal control measures for managing the investment portfolio.

- ❖ Identify the major Risks associated with Investment viz. Interest Rate Risk and Liquidity Risk
- ❖ Adherence to Exposure Norms and other regulatory guidelines
- ❖ Ensure sustainable compliance on Inspection Observations pertaining to Investment Portfolio and Treasury Management of the Bank

Programme Director: Shri. Baidya Nath Singh, DGM/FM, BIRD, Mangaluru.

Duration: 3 days (06 to 08 May 2024) - The programme will commence at 09:30 hrs on 06 May 2024 and will conclude at 17:00 hrs on 08 May 2024.

Participant Profile / Target Group: Officials of (i) State Co-operative Banks (StCBs) (ii) District Central Cooperative Banks (DCCBs) and (iii) Regional Rural Banks (RRBs)

Methodology: Class room lectures, Interactive sessions and Group discussions

Fee & Mode of Payment: The programme is sponsored by NABARD, hence **no participation fee** is collected towards boarding, lodging and to impart training. Banks / Institutions forwarding the nominations have to bear “to and fro” travelling cost of their participants attending the programme.

Venue and Accommodation: The programme is residential and will be conducted at BIRD Campus, Krishna Nagar Road, Bondel, Mangaluru-575008. Participants are eligible to avail accommodation in the campus from the **evening of 05th May 2024 till the evening (9.00 PM) of 08th May 2024**. However, participants from remote states may be allowed to avail accommodation facility till morning (09:00 A.M) of 09th May 2024 on specific request and approval thereof by BIRD Mangaluru. **Participants will have to make their own travel arrangements. No cost in this regard will be borne by BIRD.** Participants may make their travel plans in such a manner as to reach the venue well in advance and report before the commencement of the programme on the first day and also **leave only after completion of the programme (5.00 PM) on the concluding day.**

It may please be noted that family member/s or guest/s of the participants will not be allowed to stay in the Venue. The nominating institutions are strictly advised not to send any such participants. Prior permission may be obtained by the participants for overstay, in case of any unforeseen circumstances or emergencies only and in such cases, additional cost, if any, may be borne by the respective participant.

Nomination and Inquiries: The nominations may please be forwarded so as to reach us at the earliest. The registration is on “*first come first served*” basis and will be accepted for the first 30 candidates only. **The nominated officials are requested to report for the training programme only on receipt of confirmation of their nominations by us.**

CONFIRMATIONS WILL BE SENT BY E-MAIL ONLY. Nominating institution is requested to furnish **primary as well as alternate email addresses** to avoid loss of communication during transmission.

Nominations: You are requested to enter the details of the officers whom you wish to nominate through the Microsoft Form link given below or scan the QR code. If you are going to nominate multiple officers, please submit individual responses.



<https://forms.office.com/r/CtD9xLBCnp>

About BIRD

Bankers Institute of Rural Development (BIRD), Mangaluru, an ISO 9001:2015 certified Institution (formerly known as Regional Training College, NABARD, Mangalore) is established by National Bank for Agriculture and Rural Development (NABARD) and is engaged in training and capacity building of the officials of the Rural Financial Institutions viz., Commercial Banks (CBs), Regional Rural Banks (RRBs), Cooperative Banks, Government and Non-Government organizations particularly in the States of Andhra Pradesh, Telangana, Goa, Karnataka, Kerala, Maharashtra, Gujarat and Tamil Nadu. The Institute organises in-house and on-location training programmes, besides customised programmes for banks, workshops/seminars and studies of current interest, etc. During the last 42 years, nearly 71,000 officers of various client institutions have benefitted through programmes conducted by the Institute. The Institute is also involved in conducting International Programmes.

BIRD has very good ambience for academic pursuits, necessary infrastructure, learning aids including an excellent library and exclusive computer lab with internet facility. Participants are provided single occupancy, self-contained air conditioned rooms.

About Mangaluru

Mangaluru is one of the most important tourist destinations in India with its scenic natural beauty. The place is dotted with coconut trees, areca palms, hills and streams. The city is enshrined in ancient temples and beautiful and pristine beaches. Mangaladevi temple, Kadri Manjunatha temple, Kateel Durga Parameshwari temple, St. Aloysius Chapel, Rosario Cathedral, Jama Masjid are the important pilgrimage sights in Mangaluru. It also boasts of an array of clean, serene and tranquil beaches, besides delicious seafood and Udupi-style dishes. Dhramsthala, Kukke Subramanya, Kolluru, Udupi, Karkala, Venoor and Moodabidri are other places of interest nearby.

Shri. Baidya Nath Singh, DGM/FM, BIRD, Mangaluru (Tel: 0824-2888509)

Shri. Shakti P Mohapatra, AGM/FM, BIRD, Mangaluru (Tel: 0824-2888510)

Shri. Neela Mahender Babu, AM, BIRD, Mangaluru (Tel.0824-2888515, Mob. 9618577572)

Shri. Dhiraj Rajak, AM, BIRD, Mangaluru (Tel. 0824-2888518, Mob. 8898463639)

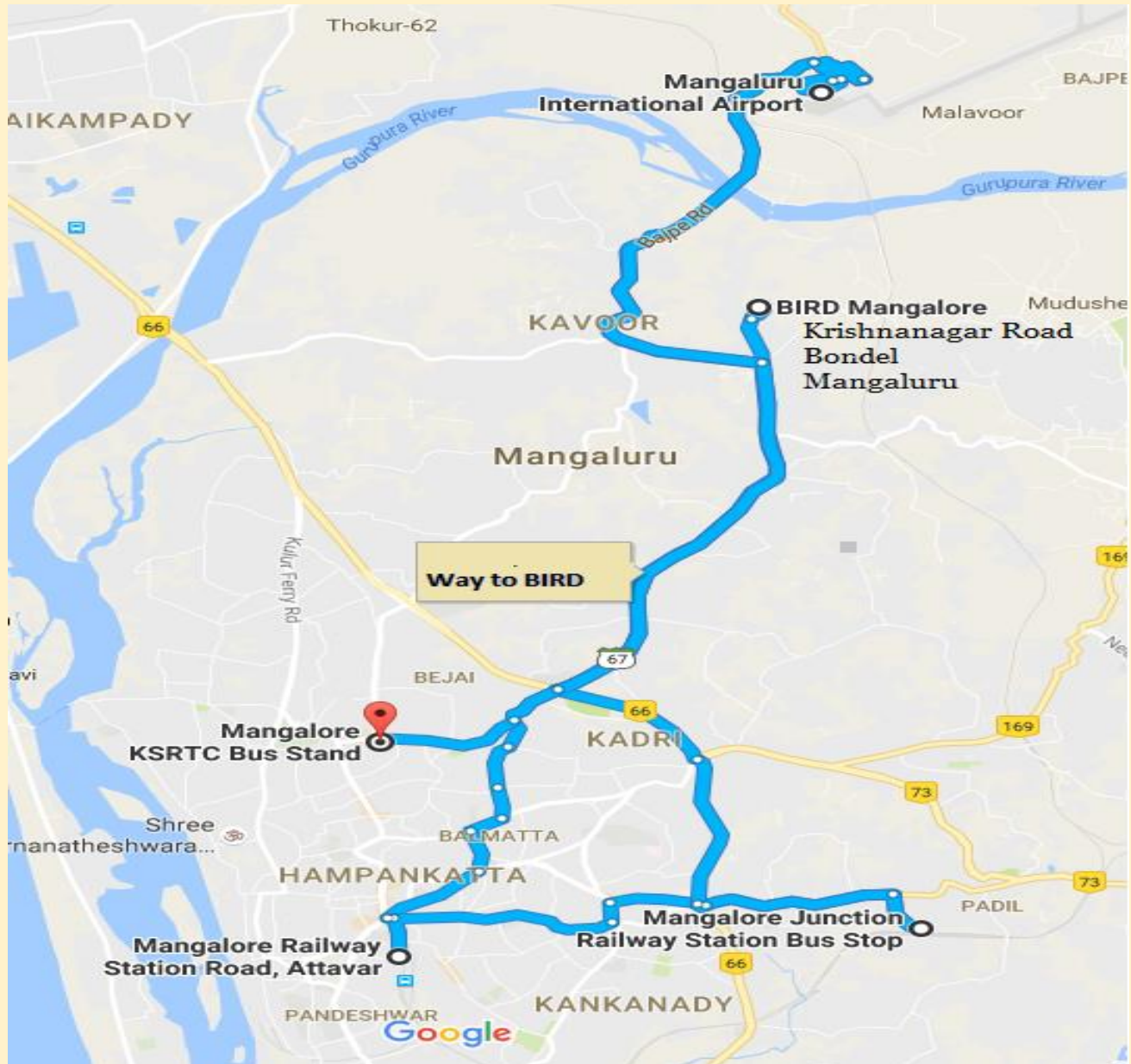
Bankers Institute of Rural Development, Krishna Nagar Road, Bondel, Mangaluru – 575 008.

Phone (BIRD Security) - (0824) –2888537

Phone (Reception) - (0824) - 2888532

E-mail: birdmngnomination@nabard.org

Website : <https://birdmangaluru.in/>



The Institute is located in a newly built campus located at a distance of:

- 7 km from Mangaluru Airport;
- 11 km from the Mangaluru Central Railway Station;
- 13 KM from Mangaluru Junction;
- 6 KM from the KSRTC Bus Stand.
- Bus No. 19 from KSRTC Bus Stand / Mangaluru Central Station. Alight at the last stop, i.e. Bondel. BIRD is within a km from Bondel Junction.